



RECEIVED
MAR 28 2002
Technology Center 2100

69/2166

Attorney's Docket No.: 5214P001RD3

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of:

Kuriacose Joseph, et al.

Application No.: 09/903,457

Filing Date: July 10, 2001

For: APPARATUS FOR TRANSMITTING
AND RECEIVING EXECUTABLE
APPLICATIONS AS FOR A
MULTIMEDIA SYSTEM, AND SYSTEM
TO ORDER AN ITEM USING A
DISTRIBUTED COMPUTING SYSTEM

Examiner: Kalinowski, A.

Art Group: 2166

#4/IDS

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on March 22, 2002

Date of Deposit

Sarah M. Montgomery

Name of Person Mailing Correspondence

Signature

3/22/02
Date



RECEIVED
MAR : 8 2002
Technology Center 2100

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):


- X 37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
 - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 03/21/ 2002



André L. Marais
Registration no. 48,095

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 947-8200



PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/903,457		
		Filing Date	07/10/2001		
		First Named Inventor	Kuriacose Joseph		
		Art Unit	2166		
		Examiner Name	Kalinowski, A.		
Sheet	1	of	5	Attorney Docket Number	5214P001RD3

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US- 3,803,491	04.09.1974	Osborn	
	2	US- 3,891,792	06.24.1975	Kimura	
	3	US- 4,264,925	04.28.1981	Freeman et al.	
	4	US- 4,323,922	04.06.1982	den Toonder et al.	
	5	US- 4,528,589	07.09.1985	Block et al.	
	6	US- 4,724,521	02.09.1988	Carron et al.	
	7	US- 4,851,994	07.25.1989	Toda et al.	
	8	US- 4,935,870	06.19.1990	Burk, Jr. et al.	
	9	US- 4,937,784	06.26.1990	Masai et al.	
	10	US- 4,965,825	10.23.1990	Harvey et al.	
	11	US- 4,991,011	02.05.1991	Johnson et al.	
	12	US- 5,003,591	03.26.1991	Kaufman et al.	
	13	US- 5,036,314	07.30.1991	Barillari et al.	
	14	US- 5,129,080	07.07.1992	Smith	
	15	US- 5,132,992	07.21.1992	Yurt et al.	
	16	US- 5,191,410	03.02.1993	McCalley et al.	
	17	US- 5,191,573	03.02.1993	Hair	
	18	US- 5,195,092	03.16.1993	Wilson et al.	
	19	US- 5,204,947	04.20.1993	Bernstein et al.	
	20	US- 5,233,654	08.03.1993	Harvey et al.	

RECEIVED
MAR 28 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴ - Kind Code ⁵ (if known)			
	21	EP	0 145 063 A2	06.19.1985	International Standard Electric Corporation	
	22	EP	0 145 063 B1	06.19.1985	International Standard Electric Corporation	
	23	EP	0 570 683 A2	11.24.1993	International Business Machines Corporation	
	24	EP	0 570 683 A3	11.24.1993	International Business Machines Corporation	
	25	EP	0 583 186 B1	02.16.1994	Queniat, Jean-François	
	26	EP	0 399 300 A2	11.28.1990	Hitachi, LTD.	
	27					
	28					
	29					
	30					

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

MAR 28 2002

Technology Center 2100



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/903,457
		Filing Date	07/10/2001
		First Named Inventor	Kuriacose Joseph
		Group Art Unit	2166
		Examiner Name	Kalinowski, A.
(use as many sheets as necessary)		Attorney Docket Number	5214P001RD3
Sheet	2	of	5

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	31	Green N, Hedger J, "ORACLE on Independent Television" Sept. 1976.	
	32	Hedger, J, "Broadcast Telesoftware: Experience with ORACLE" Online conferences Ltd., 1980.	
	33	Ammar M H, Wong JW, "The Design of Teletext Broadcast Cycles" Elsevier Science Publishers B.V., 1985 (Received May 13, 1984). [Wong's group, Dept of Computer Science, University of Waterloo, Ontario, Canada]	
	34	Gifford D K, Lucassen J M, Berlin S T, "The Application of Digital Broadcast Communication to Large Scale Information Systems" IEEE Journal on Selected Areas in Communications, Vol. SAC-3, No. 3, May 1985 (received Sept. 19, 1984). [MIT lab, Gifford's group]	
	35	Wong J W, "Broadcast Delivery" Proceedings of IEEE, Vol. 76, No. 12, Dec 1988 (Received April 15 1988).	
	36	Herman G, Gopal G, Lee K C, Weinrib A, "The Datacycle Architecture for Very High Throughput Database Systems" Proceedings of Association for Computing Machinery Special Interest Group on Management of Data, 1987 Annual Conference, San Francisco, May 27-29, 1987. [Bell Lab, Herman & Gopal's group, Bell Communications Research, Inc., Morristown, NJ.]	
	37	Gifford D K, "Polychannel Systems for Mass Digital Communication" Communications of ACM, Vol. 33, Number 2, Feb. 1990. [MIT lab, Gifford's group]	
	38	Bowen T F, Gopal G, Herman G, Hickey T, Lee K C, Mansfield W H, Raitz J, Weinrib A, "The Datacycle Architecture" Communications of the ACM, Vol. 35, No. 12, Dec. 1992.	
	39	Antonelli C J, Honeyman P, "Integrating Mass Storage and File Systems", Proceedings, Twelfth IEEE Symposium on Mass Storage Systems, April 26-29, 1993, Monterey, CA.	
	40	Zdonik S, "Are "Disks in the Air" Just Pie in the Sky?" IEEE, Workshop on Mobile Computing Systems and Applications, Santa Cruz, CA, Dec. 1994. [Brown's lab, Zdonik's Group, Dept. of Computer Science, Brown Univ., affiliated with Dept. of Computer Science, University of Maryland]	
	41	Acharya S, Alonso R, Franklin M, Zdonik S, "Broadcast Disk: Data Management for Asymmetric Communication Environments" Proceedings of the ACM SIGMOD International conference on Management of Data, San Jose, CA May 1995 (first published in Brown University Technical Report CS-94-43, October 1994).	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	09/903,457	
Sheet	3	of	5	Filing Date	07/10/2001
				First Named Inventor	Kuriacose Joseph
				Art Unit	2166
				Examiner Name	Kalinowski, A.
				Attorney Docket Number	5214P001RD3

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US- 5,343,239	08.30.1994	Lappington et al.	
	2	US- 5,440,744	08.08.1995	Jacobson et al.	
	3	US- 5,539,449	07.23.1996	Blahut et al.	
	4	US- 5,548,532	08.20.1996	Menand et al.	
	5	US- 5,600,364	02.04.1997	Hendricks et al.	
	6	US- 5,666,293	09.09.1997	Metz et al.	
	7	US- 5,729,549	03.17.1998	Kostreski et al.	
	8	US- 5,734,589	03.31.1998	Kostreski et al.	
	9	US- 5,768,539	06.16.1998	Metz et al.	
	10	US- 5,805,825	09.08.1998	Danneels et al.	
	11	US- 5,822,324	10.13.1998	Kostreski et al.	
	12	US- 5,826,166	10.20.1998	Brooks et al.	
	13	US- 5,951,639	09.14.1999	MacInnis	
	14	US- 5,973,684	10.26.1999	Brooks et al.	
	15	US- 5,978,855	11.02.1999	Metz et al.	
	16	US- 6,130,898	10.10.2000	Kostreski et al.	
	17	US- 6,185,585 B1	02.06.2001	Sequeira	
	18	US- 6,195,364 B1	02.27.2001	Brodigan	
	19	US- 6,222,530 B1	04.24.2001	Sequeira	
	20	US- 4,163,255	07-31-1979	Pires	

RECEIVED

MAR 28 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)			
	21				
	22				
	23				
	24				
	25				
	26				
	27				
	28				
	29				
	30				

Examiner Signature		Date Considered	
-------------------------------	--	----------------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 4 of 5

Complete if Known

Application Number	09/903,457
Filing Date	07/10/2001
First Named Inventor	Kuriacose Joseph
Group Art Unit	2166
Examiner Name	Kalinowski, A.
Attorney Docket Number	5214P001RD3

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	31	Acharya S, Alonso R, Franklin M, Zdonik S, "Dissemination-based Data Delivery Using Broadcast Disks" IEEE Personal Communications, Vol. 2(6), 50-60, 1995.	
	32	Imielinski T, Viswanathan S, Badrinath B R, "Power Efficient Filtering of Data on Air" Advances in Database Technology - EDBT '94, Proceedings, 4th International conference on Extending Database Technology, Cambridge, United Kingdom, March 28-31, 1994. [Rutger's group, Imielinski's lab, Dept. of Computer Science, Rutgers University]	
	33	Imielinski T, Viswanathan S, Badrinath B R, "Energy Efficient Indexing on Air" Proceedings of the 1994 ACM SIGMOD, International Conference on Management of Data, Minneapolis, Minnesota, May 24-27, 1994.	
	34	Imielinski T, Badrinath B R, "Mobile Wireless Computing" Communications of the ACM, Vol. 37, No. 10, October 1994.	
	35	Dr. Hisham El-Shishiny, et al., "A Regional Broadcast-Centric Education System", IEEE Symposium on Computers and Communications, Alexandria, Egypt, July 1-3, 1997.	
	36	Anonymous, "Internet Gets Shopping Mall", Information & Interactive Services Report, April 22, 1994, Vol. 15, No. 8, 1 page.	
	37	Brody, Herb, "Information Highway: Technology Review, Aug/Sep 1993, Vol. 96, No. 6, page 30.	
	38	Rice, Dale, "Centralization, Standardization Are "Way to Go", American Banker, March 9, 1987, Vol. 152, No. 46, page 11.	
	39	Poole et al., "Cable TV Plugs Into Internet", Unix World, November 1993, Vol. X, No. 11, page 16.	
	40	Yamada, Ken, "Hewlett Devises Way for Palm-Top PC to be linked to "Interactive" Television", Wall Street Journal, October 7, 1992, page B3.	
	41	Zachary, G Pascal, "Technology: HP is Building Gadget to Make TVs Interactive", Wall Street Journal, February 27, 1992, page B1.	

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
MAR 28 2002
Technology Center 210



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/903,457		
		Filing Date	07/10/2001		
		First Named Inventor	Kuriacose Joseph		
		Art Unit	2166		
		Examiner Name	Kalinowski, A.		
Sheet	5	of	5	Attorney Docket Number	5214P001RD3

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US- 4,789,895	12-06-1988	Mustafa et al.	
	2	US- 5,418,559	05-23-1995	Blahut	
	3	US- 5,485,197	01-16-1996	Hoarty	
	4	US- 4,965,825	10-23-1990	Harvey et al.	
	5	US- 5,936,659	08-10-1999	Viswanathan et al.	
	6	US- 6,240,448 B1	05-29-2001	Imielinski et al.	
	7	US- 5,706,435	01-06-1998	Barbará et al.	
	8	US- 5,168,356	12-01-1992	Acampora et al.	
	9	US- 5,581,704	12-03-1996	Barbará et al.	
	10	US- 5,621,456	04-15-1997	Florin et al.	
	11	US- 5,848,399	12-08-1998	Burke	
	12	US- 5,347,632	09-13-1994	Filepp et al.	
	13	US-			
	14	US-			
	15	US-			
	16	US-			
	17	US-			
	18	US-			
	19	US-			
	20	US-			

RECEIVED

MAR 28 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	21					
	22					
	23					
	24					
	25					
	26					
	27					
	28					
	29					
	30					

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.